

Electronic Acknowledgement Receipt

EFS ID:	2404094
Application Number:	09688392
International Application Number:	
Confirmation Number:	2870
Title of Invention:	System and method for trading taxable and non-taxable securities
First Named Inventor/Applicant Name:	Thomas C. Naratil
Customer Number:	21890
Filer:	Breda Donovan/Elizabeth Tavaréz
Filer Authorized By:	Breda Donovan
Attorney Docket Number:	74622-015
Receipt Date:	31-OCT-2007
Filing Date:	16-OCT-2000
Time Stamp:	14:53:10
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 1270
RAM confirmation Number	265
Deposit Account	162500
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment After Final	74622-015amendment.pdf	817899 d1eed0caabfa66d7054952db94c3e3475cbfoda1	no	15

Warnings:

Information:

2	Request for Continued Examination (RCE)	74622-015sb0030eRCE.pdf	737974 deb2adbdf494811df68390049853e7323a8ac4d5	no	3
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Warnings:

Information:

3	Fee Worksheet (PTO-06)	fee-info.pdf	8319 782b5d4d9589af193a065c895b668066016b09a8	no	2
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Warnings:

Information:

Total Files Size (in bytes):			1564192
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.